



ANNOUNCEMENT

2017 REGIONAL INVENTION CONTEST RULES AND CRITERIA

Region: _____
Venue : _____
Date : _____

1. Rationale

The **Regional Invention Contest and Exhibits** is a nationwide activity conducted in different regions to recognize the indispensability of the Filipino inventors in the Philippine society and in the landscape of national economic development. The invention contest shall give encouragement to inventors to use their inventive capability by competing for cash rewards. The Regional Invention Contest serves as the qualifying round for the National Invention Contest, pursuant to Section 4 of the Republic Act No. 7459 also known as "***Inventors and Invention Incentives Act of the Philippines***".

2. Regional Invention Contest Categories

The Regional Invention Contest is a competition open for both the public and private sector inventors and researchers within the Region. There are six (6) categories where talented Filipinos can compete in the Invention Contest: ***Outstanding Invention (Tuklas Award), Outstanding Utility Model, Outstanding Industrial Design, Outstanding Creative Research (Likha Award) and Outstanding Student Creative Research (Sibol Award) for High School and College students.*** Following are the details of each category:

- 2.1 **Invention Category:** *Invention* refers to any technical solution of a problem in any field of human activity which is new, involves an inventive step and is industrially applicable. It may be, or may relate to a product, or process, and their improvements, (Sec. 21, RA 8293). It shall be covered by invention patent still in force granted by the Intellectual Property Office – Philippines (IPOPIL).
- 2.2 **Utility Model Category:** *Utility Model* refers to any technical solution of a problem in any field of human activity which is new and industrially applicable, within contemplation of the above statute (Sec. 109, RA 8293). It shall be covered by utility model registration with a valid registrability report still in force granted by the Intellectual Property Office of the Philippines (IPO- PHIL).

- 2.3 Industrial Design Category:** *Industrial Design* refers to any composition of lines or colors or any three-dimensional form whether or not associated with lines or colors: *Provided*, that such composition or form gives a special appearance to and can serve as pattern for an industrial product or handicraft within the contemplation of the above statute (Sec. 112, RA 8293). It shall be covered by industrial design registration with valid registrability report still in force granted by the Intellectual Property Office of the Philippines (IPOPIL).
- 2.4 Creative Research Category:** *Creative Research* refers to patent pending inventions, registration pending utility models and industrial designs and research results not yet applied for patent, utility model and industrial design registration. Eligible under this category are new and useful machines/ devices/ gadgets, products or processes or an improvement of any of the foregoing; and non-biological/microbiological processes not contrary to public order, morals, public health and welfare. These are research results with demonstrable qualities and potential for improvement and/ or widespread commercialization/ dissemination.
- 2.5 Student Creative Research for College and High School** – refers to new and innovative projects/models developed by college and high school students. These projects/models should possess features and characteristics that may have significant contribution to the promotion of S&T innovations that are not contrary to public order, morals, public health, and welfare.

3. Regional Invention Contest Eligibility

- 3.1 The contest is open to all inventors, makers, designers, creative researchers and student researchers who are Filipino citizens residing in the Regions. They are eligible to join in any of the six contest categories (as applicable) of the invention contest as stated above and compete for awards and represent the Region in the National Invention Contest. However, an entry in any of the categories may be entered in only one (1) regional invention contest during the year.
- 3.2 For the purpose of eligibility, a contestant is considered a resident of the region, if:
- a) he/she is actually residing and has six months residency in the region/area; and
 - b) he/she has principal office address within the said region.
- 3.3. The **Outstanding Student Creative Research Category or Sibol Award** is open to high school and college students. Entries should be duly endorsed by the school wherein the contestants are students and where the creative research was done. The endorser can either be the principal, the school head, and the dean of the college or the president of the school or university.

- 3.4 The Out-of-School Youths (OSYs) are eligible to join either in the Creative Research Category or the Student Creative Research Category. Entries should be duly endorsed by the local inventor's organization in the Region.
- 3.5 The inventions, registered utility models and industrial designs, creative research or a student creative research that have won in previous Regional/ National Invention Contests, shall no longer be eligible to join in subsequent National / Regional Invention Contests in the same category. However, those entries that have won under the Creative Research and Student Creative Research Categories may join the regional invention contest, provided that such entries are now patented/ registered at the Intellectual Property Office of the Philippines (IPO Phil).
- 3.6 Entries in the invention, utility model and industrial design categories must already have patent, utility model registration and industrial registration, respectively, on or before the deadline for the submission of entries.
- 3.7 Only the inventors, makers and designers whose names appear in the patent, utility model registration and industrial design registration documents, respectively, may join in the contests. In case of an invention, a utility model or an industrial design by a group, all members must sign in the accomplished entry forms, or sign waivers and other documents if they are not joining the contest.
- 3.8 In case of joint ownership in a certain invention or research, all of the inventors, makers, designers and creative researchers must possess the eligibility requirement as stated in Item number 3.2 of this Contest Rules and Criteria. Disqualification in one member would mean disqualification of the other members in joining the contest.

4. Regional Invention Contest Requirements and Mechanics

- 4.1 Contestants are required to submit the following to the organizing DOST Regional Office (DOST RO) not later than 5:00 p.m., on the Deadline of submission of entries.
 - 4.1.1 Six (6) copies of the completely filled-up and notarized entry forms including:
 - RIC Form No. 01 - Entry Form for invention, utility model, industrial design, and creative research categories, herein attached as *Annex 1*;
 - RIC Form No. 03 - Entry Form for Sibol Award, herein attached as *Annex 2*;
 - 4.1.2 Two (2) original copies of signed and notarized waivers:

- RIC Form No. 02 - Waiver Form for invention, utility model, industrial design, and creative research categories, herein attached as *Annex 3*;
 - RIC Form No. 04 - Waiver Form for Sibol Award, herein attached as *Annex 4*;
- 4.1.3 Six (6) copies of letters patent or UM/ID registration (as applicable).
- 4.1.4 Six (6) copies of one (1) page abstract, description/drawings, pictures, certificates.
- 4.1.5 Six (6) copies of notarized Certification that their work is their own, new and original, for Creative Research Category (Likha Award) and Student Creative Research Category (Sibol Award):
- RIC Form No. 5 - Certification for Entries under the Creative Research Category (Likha Award), herein attached as *Annex 5*.
 - RIC Form No. 6 - Certification for Entries under the Student Creative Research Category (Sibol Award), herein attached as *Annex 6*.
- 4.2 All the certifications and waivers or contest forms must be under oath and subscribed into by the affiants.
- 4.3 The contestants must submit their duly accomplished entries to the organizing DOST Regional Office (DOST RO). The DOST RO will pre-screen entries based on compliance to the requirements stated in Item 5.1 of this Rules and Criteria. All documents submitted are subject to final examination by the Board of Judges.
- 4.4 The contestants in the Creative Research Categories (Likha Award and Sibol Award) shall certify that their work is their own and that to the best of their knowledge, is new and original.
- 4.5 The Outstanding Creative Research Category (Likha Award) may be sub-categorized into government-funded and private-funded if there are at least three (3) entries under each. Otherwise, both will be judged without distinction as government-funded or private-funded.
- 4.6 The contestants may be required to provide additional information to the Judges in order to facilitate the evaluation of their entries before and/or during the contest proper.
- 4.7 For Student Creative Research Categories (College and High School), the advisers are not allowed to make presentations in behalf of their students.
- 4.8 The qualified entries will be assigned a booth free of charge to display his/her official entry/ies. Each entry shall be identified by an official label provided by DOST Regional Office. Owners of qualified entries shall be notified of their

specific booth assignments/dimensions. The booth assignments shall be done on the basis of contest categories.

- 4.9 The qualified contestants are required to install their working models/ products/ exhibit materials at the contest site on the specified date and time of Ingress as announced by the Host DOST Regional Office.
- 4.10 All exhibit materials should be moved out on the specified date and time of Egress after the closing ceremonies. Organizers shall not be liable to any losses/ damages to the working models/ products/ exhibit materials. All contestants shall be responsible in manning/ supervising/ pull out of their respective exhibits at all times.
- 4.11 The contestants in the Creative Research Categories (Likha Award and Sibol Award) shall agree that any intellectual property included in their entries shall be protected under the TAPI's Intellectual Property Rights Assistance Program. Ownership shall be retained to the contestants or to their Assignees, if applicable, who shall also disclose their creative works in full.
- 4.12 All regional winners under the Creative Research Categories (Likha Award and Sibol Award), as a requirement for the National Invention Contest, shall automatically avail TAPI's IPR Assistance Program.
- 4.13 All contestants and regional winners shall commit to push for the commercialization of their inventions and researches once opportunities are provided.

5. Judging and Criteria

5.1 Judging shall be based on the following criteria:

a) Outstanding Invention

| | |
|---|-------|
| Degree of Inventiveness | 30% |
| Degree of Development | 25% |
| Commercial Viability / Readiness for Commercialization | 40% |
| Presentation and Demonstration | 5% |
| | ----- |
| TOTAL | 100% |

b) Outstanding Utility Model

| | |
|---|-------|
| Useful Technical Advantage | 30% |
| Degree of Development | 25% |
| Commercial Viability / Readiness for Commercialization | 40% |
| Presentation and Demonstration | 5% |
| | ----- |
| TOTAL | 100% |

c) Outstanding Industrial Design

| | |
|------------------|-------|
| Aesthetics | 30% |
| Market Potential | 40% |
| Uniqueness | 25% |
| Demonstration | 5% |
| | ----- |
| TOTAL | 100% |

d) Outstanding Creative Research

| | |
|--------------------------------|-------|
| Originality | 25% |
| Creativity / Methodology | 35% |
| Market Potential / Usefulness | 30% |
| Presentation and Demonstration | 10% |
| | ----- |
| TOTAL | 100% |

e) Sibol Award (Outstanding Student Creative Research)

| | |
|--------------------------------|-------|
| Originality | 25% |
| Creativity / Methodology | 35% |
| Market Potential / Usefulness | 30% |
| Presentation and Demonstration | 10% |
| | ----- |
| TOTAL | 100% |

- 5.2 The Board of Judges shall be constituted by the organizing DOST Regional Office. The judges may either be from the academe, government and private business organizations in the region.
- 5.3 The final judging will be held at the contest site. The Board of Judges may declare no winner if the minimum score of 80% is not met. .
- 5.4 The decision of the Board of Judges shall be final and unappealable. The scorecards or rating sheets are considered personal notes of the judges and are not for public scrutiny and any part of it shall not be given to any contestant or requesting party.
- 5.5 The contestants or their duly designated representative shall agree to the immunity of the members of the Board of Judges, DOST and their employees from any legal suit that may arise from or relative to the conduct and results of the contest by signing the corresponding waiver form as reflected in RIC Form 02 and RIC Form 04 (*Annexes 3 and 4*). No entry shall be considered officially entered in the contest unless the contestant and his/her witnesses have signed the waiver and submitted the signed waiver to the DOST regional Office.

6. Announcement / Awarding of Prizes

- 6.1 Three (3) regional winners shall be selected per category. In case of tie, the Board of Judges shall break the tie and declare only three regional winners. The first prize regional winner on each category shall be automatically the national qualifier cum Finalists for the National Invention Contest.
- 6.2 All regional winners shall receive a cash incentive and certificate of recognition during the Awarding and Closing Ceremonies of the regional invention contest.



DR. ROMULO T. AGGANGAN
Chair, SC of RA 7459

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

ENTRY FORM

**INVENTION, UTILITY MODEL, INDUSTRIAL DESIGN
AND CREATIVE RESEARCH CATEGORIES**

CHECKLIST OF REQUIREMENTS PER ENTRY

- 6 copies of *ENTRY FORMS*
6 copies of *DETAILED INFORMATION/DESCRIPTION OF THE ENTRY*
6 copies of **complete** *PATENT/UM/ID REGISTRATION DOCUMENTS*
(for invention, utility model and industrial design categories only)
6 copies of **Certification that their work is their own, new and original**
(For entries in the Creative Research Category)
6 copies of *WAIVERS*

1. CATEGORY (Please check)

- INVENTION INDUSTRIAL DESIGN
 UTILITY MODEL CREATIVE RESEARCH

| 2. NAME OF CONTESTANT | AGE | EDUCATIONAL ATTAINMENT | ADDRESS | TEL./FAX NO. CELLPHONE/ E-MAIL ADDRESS |
|-----------------------|-------|------------------------|---------|--|
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |

3. TITLE OF ENTRY: _____

4. PATENT/UM/ID REGISTRATION NO.: _____
(For invention, utility model and industrial design categories only. **Please submit 6 copies of complete patent/registration documents**)

5. STATUS OF ENTRY: Please check. Use separate sheet for description/ additional information requested.

- Already in the market. Please indicate number of units produced/sold, price per unit, etc. _____
 Process being used commercially. Please give details: _____

- Bench scale Development Stage Not yet commercialized
 With prototype Without prototype

6. Has the entry been part of any contest before? YES NO
if yes, where _____ when? _____
7. Has the entry won any major prize (*i.e.* 1st, 2nd, 3rd prize) in any national/ regional cluster invention contest organized by DOST-TAPI in the past?
If yes, please specify _____
8. In separate sheet/s please submit detailed information/description of entry (may include the technological and economic advantages of the entry over existing similar products, devices, machines or processes).
9. Please indicate approximate size/dimensions of working model/prototype/ products/exhibit materials and special requirements, if any.
10. Contestants are required to execute a Waiver (*RIC Form No. 02 – Waiver Form*) which forms part of this entry. Entries without waivers are automatically disqualified. Please use attached form.

I / WE HEREBY CERTIFY that the above answers given above are true and correct to the best of our knowledge and belief. Any fraudulent information provided will be a ground for disqualification or withdrawal of the award.

Contestant/s

Date Signed _____

SUBSCRIBED AND SWORN TO before me this _____ day of _____ 2017, at _____, Philippines, affiant _____, exhibiting his/her proof of identity as above stated.

Doc. No.: _____
Page No.: _____
Book No.: _____
Series of 2017

Note:

Please submit this form together with the accomplished waiver form to the organizing DOST Regional Office not later than 5:00 p.m., on the Deadline of Submission of Entries.

RIC Form No. 03
Series of 2017

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

ENTRY FORM

SIBOL AWARD
(Student Creative Research Category)

CHECKLIST OF REQUIREMENTS PER ENTRY

- 6 copies of *ENTRY FORMS*
- 6 copies of *DETAILED INFORMATION/DESCRIPTION OF THE ENTRY*
- 6 copies of Certification that their work is their own, new and original
- 6 copies of *WAIVERS*

1. **TITLE OF ENTRY:** _____

2. **LEVEL**
 High School College

| 3. NAME OF CONTESTANT | AGE | YEAR | ADDRESS | TEL./FAX NO. CELLPHONE/ E-MAIL ADDRESS |
|-----------------------|-------|-------|---------|--|
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |

4. **SCHOOL:** _____

Address: _____

Head: _____ Tel No. _____ Fax No. _____

5. Has the entry been part of any contest before? YES NO
 If yes, where? _____ when? _____

5 Has the entry won any major prize (i.e. 1st, 2nd, 3rd prize) in any Sibol Award in the past? **If yes**, please specify _____

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

W A I V E R

KNOWN ALL MEN BY THESE PRESENTS:

That I/WE, _____
contestant/s in the **Regional Invention Contest** with the entry, entitled
_____ with
Patent/UM/ID Registration No. _____ under the _____
(*Invention, Utility Model, Industrial Design and Creative Research*) category hereby state
that I/we are aware of all the rules and regulations set forth in the Contest.

By virtue hereof, I/we shall abide by the decision of the Panel of Judges and hereby
waive whatever cause of action which I/we have or may hereafter have against the members
of the Panel of Judges, the Department of Science and Technology Regional Office (DOST
RO), the Technology Application and Promotion Institute (TAPI) and their employees that
may arise from or relative to the conduct, results, etc. of the Contest.

IN WITNESS WHEREOF, I/we have hereunto set our hands on this _____ day
of _____, 2017 at _____.

* For Group work, all members must sign.

Contestant/s*

Signed in the presence of:

Witness
Address: _____

Witness
Address: _____

SUBSCRIBED AND SWORN TO before me this _____ day of _____ 2017, at
_____, Philippines, affiant _____, exhibiting his proof of
identity as above stated.

Doc. No.: _____
Page No.: _____
Book No.: _____
Series of 2017

**Note: Please submit this form together with the accomplished entry form to the Host DOST
Regional Office not later than 5:00 p.m., on the Deadline of Submission of Entries.**

RIC Form No. 04
Series of 2017

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

W A I V E R

KNOWN ALL MEN BY THESE PRESENTS:

That I/WE, _____
contestant/s in the **SIBOL AWARD (Outstanding Student Creative Research)** with the
entry, entitled _____ hereby state that
I/we are aware of all the rules and regulations set forth in the Contest.

By virtue hereof, I/we shall abide by the decision of the Panel of Judges and hereby
waive whatever cause of action which I/we have or may hereafter have against the members
of the Panel of Judges, the Department of Science and Technology Regional Office (DOST
RO), the Technology Application and Promotion Institute (TAPI) and their employees that
may arise from or relative to the conduct, results, etc. of the Contest.

IN WITNESS WHEREOF, I/we have hereunto set our hands on this _____ day
of _____, 2017 at _____.

Adviser/s

Contestant/s*

* For Group work, all members must sign.
Signed in the presence of:

Witness
Address: _____

Witness
Address: _____

SUBSCRIBED AND SWORN TO before me this _____ day of _____ 2017, at
_____, Philippines, affiant _____, exhibiting his proof of
identity as above stated.

Doc. No.: _____
Page No.: _____
Book No.: _____
Series of 2017

**Note: Please submit this form together with the accomplished entry form to the organizing
DOST Regional Office not later than 5:00 p.m., on the Deadline of Submission of Entries.**

RIC Form No. 05
Series of 2017

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

CERTIFICATION

KNOWN ALL MEN BY THESE PRESENTS:

That I/WE, _____
contestant/s in the **Creative Research Category (Likha Award)** with the entry, entitled _____ hereby certify that our entry is our own and that to the best of our knowledge, it is new and original. I/We further certify my/our commitment to avail **TAPI's Intellectual Property Rights Assistance Program** to protect my/our research, as well as other applicable programs of assistance of TAPI to test and commercialize my/our research.

IN WITNESS WHEREOF, I/we have hereunto set our hands on this _____ day of _____, 2017 at _____.

Contestant/s*

* For Group work, all members must sign.

Signed in the presence of:

Witness
Address: _____

Witness
Address: _____

SUBSCRIBED AND SWORN TO before me this _____ day of _____ 2017, at _____, Philippines, affiant _____, exhibiting his proof of identity as above stated.

Doc. No.: _____
Page No.: _____
Book No.: _____
Series of 2017

Note: Please submit this form together with the accomplished entry form to the organizing DOST Regional Office not later than 5:00 p.m., on the Deadline of Submission of Entries.

RIC Form No. 06
Series of 2017

2017 REGIONAL INVENTION CONTEST AND EXHIBITS
For Region _____

CERTIFICATION

KNOWN ALL MEN BY THESE PRESENTS:

That I/WE, _____
contestant/s in the **Student Creative Research Category (Sibol Award)** with the entry,
entitled _____ hereby certify that our
entry is our own and that to the best of our knowledge, it is new and original. I/We further
certify my/our commitment to avail **TAPI's Intellectual Property Rights Assistance
Program** to protect my/our research, as well as other applicable programs of assistance of
TAPI to test and commercialize my/our research.

IN WITNESS WHEREOF, I/we have hereunto set our hands on this _____ day
of _____, 2017 at _____.

Adviser/s

Contestant/s*

* For Group work, all members must sign.
Signed in the presence of:

Witness
Address: _____

Witness
Address: _____

SUBSCRIBED AND SWORN TO before me this _____ day of _____ 2017, at
_____, Philippines, affiant _____, exhibiting his proof of identity as
above stated.

Doc. No.: _____
Page No.: _____
Book No.: _____
Series of 2017

**Note: Please submit this form together with the accomplished entry form to the organizing
DOST Regional Office not later than 5:00 p.m., on the Deadline of Submission of Entries.**